Practitioner's Docket No. NEB-240-PUS

10589.144 CHAPTER II

# IN THE UNITED STATES ELECTED OFFICE (EO/US)

PCT/US2005/004409	14 February 2005 (14.02.05)	12 February 2004 (12.02.04)
International Application No.	International Filing Date	Priority Date Claimed
Highly Potent hsiRNA Mixtures	and Method for Gene Splicing	
Title of Invention		
New England Biolabs, Inc.		
Applicant(s)		

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# COMPLETION OF FILING REQUIREMENTS FOR INTERNATIONAL APPLICATION ENTERING U.S. NATIONAL STAGE IN U.S. ELECTED OFFICE (EO/US) UNDER 35 U.S.C. § 371

This replies to the Notice of Missing Requirements under 35 U.S.C. § 371 and 37 C.F.R. § 1.495 (FORM PCT/DO/EO/905).

A copy of FORM PCT/DO/EO/905 accompanies this response.

### **DECLARATION OR OATH**

## EXPRESS MAILING UNDER 37 C.F.R. § 1.10\*

(Express Mail label number is mandatory.) (Express Mail certification is optional)

I hereby certify that this paper, along with any document referred to, is being deposited with the United States Postal Service on this date 12 July 2007 in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 as "Express Mail Post Office to Addressee" Mailing Label No. EB 041997679 US.

Type or print name of person mailing paper

Date: 12 July 2007

Leslie Goldberg

Signature of person certifying

WARNING: Certificate of mailing (first class) or facsimile transmission procedures of 37 C.F.R. 1.8 cannot be used to obtain a date of mailing or transmission for this correspondence.

\*WARNING: Each paper or fee filed by "Express Mail" must have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. 1.10(b).

"Since the filing of correspondence under § 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will not be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

Completion of Filing Requirements for International Application Entering U.S. Elected Office (EO/US)--page 1 of 3 © 2006 Matthew Bender & Company, Inc., a member of the LexisNexis Group.

I. The declaration or oath that was filed was determined to be defective. A new original oath or declaration is attached.

#### **FEES**

II.

1. Examination, Search and Additional Page Fee

Filing fee	\$150.00
Examination fee	\$100.00
Search fee	\$250.00

2. Fees for claims

Each independent claim in excess of 3 (37 C.F.R. § 1.492(d)	\$0.00
Each claim in excess of 20 (37 C.F.R. § 1.492(e))	\$0.00

3. Surcharge fees

Surcharge for filing the oath or declaration later than thirty months from the priority date pursuant to § 1.495(c) and § 1.492(h):

\$65.00

4. Assignment (see assignment cover sheet)

\$40.00

07/17/2007 MKAYPAGH 00000093 10589144

04 FC:2251

60.00 OP

**Total Fees** 

\$605.00

### **SMALL ENTITY STATUS**

III. An assertion that this filing is by a small entity is being made now by paying the basic national fee as a small entity.

#### **EXTENSION OF TIME**

IV. The proceedings herein are for a patent application. Accordingly, the provisions of 37 C.F.R. § 1.136(a) apply.

Applicant petitions for an extension of one month, the small entity fee for which is \$60.00 as set out in 37 C.F.R. § 1.17(a)(1). If an additional extension of time is required, please consider this a petition therefor.

Extension fee due with this request \$60.00

# **TOTAL FEE DUE**

## V. TOTAL FEE DUE: \$665.00

# **PAYMENT OF FEES**

VI.

Attached is a check in the amount of \$665.00.

A duplicate of this request is attached.

Date: 12 July 2007

Harriet M. Strimpel, D.Phil. Registration No. 37,008
New England Biolabs, Inc.

240 County Road Ipswich, MA 01938

978-380-7373

Customer No. 28986

Adjustment date: 07/17/2007 MKAYPAGH 08/21/2006 ATRAN1 00000048 10589144 03 FC:2641 -50.00 OP

07/17/2007 MKAYPAGH 00000093 10589144

01 FC:2632 02 FC:2617 03 FC:1206 250.00 OP 65.00 OP 300.00 OP





# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE
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U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

10/589,144 George Tzertzinis NEB-240-PUS

INTERNATIONAL APPLICATION NO.

PCT/US05/04409

28986
HARRIET M. STRIMPEL; NEW ENGLAND BIOLABS, INC.
240 COUNTY ROAD
IPSWICH, MA 01938-2723

1.A. FILING DATE PRIORITY DATE 02/14/2005 02/12/2004

CONFIRMATION NO. 5689
371 FORMALITIES LETTER

\*OC000000023476636\*

Date Mailed: 04/20/2007

# NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 08/14/2006
- Copy of the International Search Report filed on 08/14/2006
- Preliminary Amendments filed on 08/14/2006
- Information Disclosure Statements filed on 08/14/2006
- Biochemical Sequence Diskette filed on 08/14/2006
- Oath or Declaration filed on 08/14/2006
- Biochemical Sequence Listing filed on 08/14/2006
- Request for Immediate Examination filed on 08/14/2006
- U.S. Basic National Fees filed on 08/14/2006
- Priority Documents filed on 08/14/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$65 for a Small Entity:

• \$65 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. <a href="https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html">https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html</a>

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at <a href="http://www.uspto.gov/ebc">http://www.uspto.gov/ebc</a>.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

**VIRGINIA L IRBY** 

Telephone: (703) 308-9140 EXT 229

#### PART 2 - OFFICE COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	. ATTY. DOCKET NO.
10/589,144	PCT/US05/04409	NEB-240-PUS

FORM PCT/DO/EO/905 (371 Formalities Notice)